

# Electronic Data Interchange Advisory

**August 22, 2006**

## Issues with cumulative payment reporting for SROIs

The Nebraska Workers' Compensation Court has been receiving subsequent reports on claims where payments have previously been reported that are either:

- reporting **zero-dollar** payment segments, or
- only reporting **medical** payment segments where indemnity payment segments were previously reported, or
- only reporting **indemnity** payment segments where medical payment segments were previously reported, or
- not reporting **any** payment segments.

This has been occurring with many different SROI transaction types including Semi Annuals (SA), Finals (FN), and Changes (02). IAIABC Release 1 standards provide no guidance as to how missing segments should be processed and the assumption was made by the court that the absence of segments indicates that no payments were made. This assumption makes claims appear as though the total cost of the claim was really less than what it should have been.

The court's Rule 30, Subsequent Report, requires cumulative payment reporting. Paragraph B of Rule 30 states, "On all subsequent reports filed with the court, cumulative weekly, medical, hospital, vocational rehabilitation and other benefit payments shall be included."

As a first step to help remedy this situation, the court has clarified the Event Table and Event Table Addendum in an effort to better define the reporting requirements. The court will explore possible future edits with its trading partners regarding segments that are missing or segments that contain zero dollar amounts.

Whenever possible, advance notice of at least 90 days will be given for trading partners to implement EDI system changes. Trading partners will have until **November 20, 2006** to modify their systems to work with the court's updated event table. Trading partners that have the capability to make these event table changes prior to this date are encouraged to do so. **Please contact the Nebraska Workers' Compensation Court with any questions or concerns regarding this issue.**

## **FNs not required on claims where no benefits have been paid**

**Reminder:** SROI FNs are not required on claims **where no benefits have been paid.**

## **Compromised payment codes only allowed for reporting court-approved settlements**

**Note:** In November 2006, an EDI Advisory will announce the implementation of new edits that will affect how Compromised Payment Codes (5XX codes) are used. A compromised payment is one which is made to limit or end past, present, and/or future liability. Compromised payment codes are **ONLY** allowed for reporting on court-approved settlements. The following code descriptions are taken from [Page 7 of the SROI Implementation Guide PDF](#) (0.25 KB).

- **500 (Unspecified):** Amounts that cannot be assigned to a specific Benefit Type.
- **501 (Medical):** Compromised settlement amount paid to the employer to conclude past, present, and/or future medical exposure.
- **510 (Fatal):** Benefits paid or payable for the death of the claimant resulting from a work-related accident or occupational injury or disease.

- **520 (Permanent Total):** Benefits paid or payable for the loss of or the permanent loss of use of any body part or function which renders the claimant unable to engage in any employment or occupation.
- **530 (Permanent Partial Scheduled):** Benefits paid or payable as established by a statutory list (schedule) of payments for certain injuries. The benefit amount is determined by the part of body that was injured subject to limitations set forth in the statute.
- **540 (Permanent Partial Unscheduled):** Benefits paid or payable for injuries to parts of the body not covered by a schedule. These benefits are payable for the claimant's actual wage loss or reduction in wage earning ability, subject to limitations set forth in the statute.
- **541 (Vocational Rehabilitation Maintenance):** Weekly maintenance benefits paid during which the claimant is participating in a vocation rehabilitation program.
- **550 (Temporary Total):** Benefits paid or payable for the period during which the claimant is unable to perform any work for pay as a result of disability from which that individual can be expected to fully recover and which period precedes the date of maximum medical improvement.
- **570 (Temporary Partial):** Benefits paid or payable for the period during which the claimant, as a result of a disability from which he/she is expected to fully recover, is unable to perform work for his/her regular pay, but is receiving a reduced rate of pay and which period precedes the date of maximum medical improvement.

## Amendments to SROI Implementation Guide

Select the following link to download the current [SROI Implementation Guide PDF](#) (0.25 KB).

**Page 7, Compromised Payment Codes.** The note in the table heading has been updated as follows: "Use these codes for court-approved settlements ONLY."

**Page 13, Event Table.** The entire table has been updated to reflect current court and IAIABC standards.

**Page 14, Addendum to Event Table.** The MTC Event and Report Due descriptions (for PY, 02, CO, 04, SA, FN, UR, S8, and RB) have been revised to synchronize with the updated Event Table.

**Page 18, Edit Matrix Table.** The following corrections have been made:

- Num Perm Impairments (DN 78): The edit has been removed from the intersection of data element number 78 and error message number 62.
- Num Payment Adjustments (DN 79): The edit at the intersection of data element number 79 and error message number 62 has been moved to the intersection of data element number 79 and error message number 61.
- Num Benefit Adjustments (DN 80): The edit has been removed from the intersection of data element number 80 and error message number 62.
- Num PTD Reduced Earns (DN 81): The edit at the intersection of data element number 81 and error message number 62 has been moved to the intersection of data element number 81 and error message number 61.
- Num Death Dep/Pay Rel (DN 82): The edit has been removed from the intersection of data element number 82 and error message number 62.
- Pay / Adj Code (DN 85): An edit has been added to the intersection of data element number 85 and error message number 63.
- Pay / Adj Paid to Date (DN 86): An edit has been added to the intersection of data element number 86 and error message number 45.

**Page 32, Frequently Asked Questions.** In the FAQs entitled "When do I use specific payment codes and when do I use compromised payment codes?" and "Where do I report court-approved settlements?," all references to compromised payment codes have been updated to show that they are only to be used for reporting **court-approved settlements** and that such settlements should be reported using the appropriate 500-series compromised payment code.

**Page 35, Frequently Asked Questions.** The FAQ entitled "Does the court accept compromised payment codes (5XX)?" has been updated to read, "Yes, but only to report court-approved settlements and **not including** codes 521, 524, 551, 580, and 590."

**Page 37, Frequently Asked Questions.** The following FAQ has been added:

**Q:** Does an RB require that all previously reported dollars paid to date be reported?

**A:** Yes, see Event Table and Addendum to Event Table.

**June 9, 2006**

### **Amendments to Rules 29 and 30 adopted**

Amendments to the Nebraska Workers' Compensation Court's Rule 29, First Report of Alleged Occupational Injury or Illness, and Rule 30, Subsequent Report, were adopted at a public hearing on June 6, 2006. Links to view the current versions of both rules are available on our website's EDI page (<http://www.wcc.ne.gov/edi.htm>).

### **New mandatory data element for FROIs; new edits for unacquired SROI SAs and FNs**

The Employer FEIN will become a mandatory data element for FROI filings. Also, the court has identified a problem where SROI paid-to-date codes 430 and 440 are being used interchangeably for indemnity and medical benefits that are not acquired.

Whenever possible, advance notice of at least 90 days will be given for trading partners to implement EDI data element changes. Effective **September 9, 2006**, the edits described above will be in place to make the Employer FEIN a mandatory data element for FROI filings and to reject unacquired SROI SAs and FNs with paid-to-date codes of 430 and 440.

### **Amendments to FROI Implementation Guide**

Select the following link to download the current [FROI Implementation Guide PDF](#) (0.25 KB).

**Page 13, FROI Filing Requirements.** Updated to reflect the recent amendments to Rule 29, First Report of Alleged Occupational Injury or Illness, regarding the 10-day filing period for FROIs.

**Pages 44 and 45, Event Table and Addendum to Event Table.** These tables have also been updated regarding the 10-day filing period.

**Page 48, Element Requirements Table.** Employer FEIN is a mandatory field.

**Page 53, Edit Matrix Table.** Employer FEIN is a mandatory field.

**Page 56, Match Data Table.** The following note has been added: "A transaction may include only one changed match value at a time to allow the remaining match values to accomplish a match to a claim in the court's database."

### **Amendments to SROI Implementation Guide**

Select the following link to download the current [SROI Implementation Guide PDF](#) (0.25 KB).

**Page 5, SROI Filing Requirements.** Updated to reflect amendments to Rule 30, Subsequent Report, which were adopted at a public hearing on June 6, 2006.

**Page 20, Match Data Table.** The following note has been added: "A transaction may include only one changed match value at a time to allow the remaining match values to accomplish a match to a claim in the court's database."

**Page 36, Frequently Asked Questions.** The following FAQ has been added:

**Q:** Can I make changes to a FROI match data elements (Name, DOI, SSN, etc) while reporting a Cancellation (01), Denial (04) or Acquired Unallocated (AU) transaction?

**A:** Changes to match data elements should be accomplished first with a 02 Change transaction. That can be

followed with another transaction to perform a Cancel, Denial or Acquired Unallocated. This follows the standard that each Maintenance Type Code (MTC) defines one and only one event for which a transaction has a specific purpose. This also applies to SROI transactions as well. An 02 Change should be sent and accepted before submitting a Semi-Annual (SA) report.

### **Amendments to POC Implementation Guide**

Select the following link to download the current [POC Implementation Guide PDF](#) (0.25 KB).

**Page 3, EDI Overview.** The following text has been added to the EDI Overview: "Nebraska Workers' Compensation Court (NWCC) has implemented and requires the IAIABC Proof of Coverage (POC) R2.1 standard. The POC R2.1 Implementation Guide contains flat file record layouts and business scenarios that NWCC supports. The most current version of the guide can be found at the following link:  
[http://www.iaiacb.org/edi/implementation\\_docs/POC%20R2-1%20\(04-01-06\)%20linked.pdf](http://www.iaiacb.org/edi/implementation_docs/POC%20R2-1%20(04-01-06)%20linked.pdf)."

**Pages 7-17, Transaction Overview.** The Jurisdiction Accepted Triplicates column of this table has been repopulated with Y's for every transaction because the court accepts all triplicate codes.

**February 6, 2006**

***New Production EDI POC Implementation Guide Available***

Select the following link to download the new [POC Implementation Guide PDF](#) (0.25 KB).

***Amendments to FROI Implementation Guide***

**Page 5, Contact Information.** We now list three EDI Technical Contacts. If you have questions or comments of a technical nature, please contact them in the order shown:

1. First Technical Contact: James Lillis, 402-471-6457 (phone), james.lillis@wcc.ne.gov (email)
2. Second Technical Contact: Beth Garbers, 402-471-8992 (phone), beth.garbers@wcc.ne.gov (email)
3. Third Technical Contact: Bruce Mayfield, 402-471-3527 (phone), bruce.mayfield@wcc.ne.gov (email)

**Page 17, Employment Status Code.** This section now reads as follows: "A two-digit code (left justified, blank fill) used to indicate the employee's primary work code status at the time of the injury with the covered employer." The values used are now in Flat/DCI format as shown:

- 'C' = Piece Worker
- '8' = Seasonal Worker
- '1' = Full Time
- '2' = Part Time
- '3' = Not Employed
- '4' = On Strike
- '9' = Volunteer
- 'A' = Apprenticeship Full Time
- 'B' = Apprentice Part Time
- '6' = Retired
- '5' = Disabled
- '7' = Other

***Amendments to SROI Implementation Guide***

**Page 4, Contact Information.** We now list three EDI Technical Contacts. If you have questions or comments of a technical nature, please contact them in the order shown:

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**Page 21, Transaction Sequencing.** The footnote at the end of this table reflects the edits that became effective on November 1, 2005 (announced in the EDI Advisory of September 1, 2005). The footnote now includes a note regarding Semi-Annual (SA) subsequent reports as shown in #5 below:

1. An Initial Payment (IP) or Semi-Annual (SA) will no longer be accepted following an Acquired/Unallocated (AU).
2. An Acquired Payment (AP) will no longer be accepted after an Original (00).
3. Indemnity Benefits will no longer be accepted on a First Non-Indemnity Payment (PY), as this interferes with transaction sequencing and it is not an R1 standard.
4. A Final (FN) will not be accepted without a prior IP, AP or PY being received and accepted.
5. A Semi-Annual (SA) will not be accepted without a prior IP, AP or PY being received and accepted.

**Page 36, Frequently Asked Questions.** The following FAQ has been added:

**Q:** Do we have to send an IP, PY, or SA if no benefit payments were made?

**A:** No. An exception is an 04 SROI Denial, which can be reported with or without benefit payments made.